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07-16-01

PTO/SB/05 (11-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 2000-0100.00

First Inventor Ketty T. Hurley

Title METAL LOCAL INTERCONNECT SELF-ALIGNED
SOURCE FLASH CELL

Express Mail Label No. EL672722928US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 18]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
Prior application information: Examiner _____

of prior application No. _____ / _____
Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	David J. Paul				
	Agent for Applicant				
Address	Micron Technology, Inc.				
	8000 S. Federal Way, MS 525				
City	Boise	State	Idaho	Zip Code	83716
Country	USA	Telephone	208-368-4515	Fax	208-368-5606

Name (Print/Type)	David J. Paul	Registration No. (Attorney/Agent)	34,692
Signature	<i>David J. Paul</i>	Date	7/13/2001

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FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	
		Filing Date	Concurrently herewith
		First Named Inventor	Kelly T Hurley
		Examiner Name	
		Group / Art Unit	
TOTAL AMOUNT OF PAYMENT		(\$)	1430
		Attorney Docket No.	2000-0100 00/US

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to				3. ADDITIONAL FEES					
Deposit Account Number: <div>Micron Technology, Inc</div>				Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name: <div>13-3092/2000-0100 00/US</div>				105	130	205	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				139	130	139	130	Non-English specification	
2. <input type="checkbox"/> Payment Enclosed:				147	2,520	147	2,520	For filing a request for reexamination	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
FEE CALCULATION				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE				115	110	215	55	Extension for reply within first month	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	116	390	216	195	Extension for reply within second month	
101	710	201	355	117	890	217	445	Extension for reply within third month	
106	320	206	160	118	1,390	218	695	Extension for reply within fourth month	
107	490	207	245	128	1,890	228	945	Extension for reply within fifth month	
108	710	208	355	119	310	219	155	Notice of Appeal	
114	150	214	75	120	310	220	155	Filing a brief in support of an appeal	
SUBTOTAL (1)				121	270	221	135	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES				140	110	240	55	Petition to revive - unavoidable	
Total Claims	19	-20	=	141	1,240	241	620	Petition to revive - unintentional	
Independent Claims	12	-3	=	142	1,240	242	620	Utility issue fee (or reissue)	
Multiple Dependent			=	143	440	243	220	Design issue fee	
Extra Claims X Fee from below = Fee Paid				144	600	244	300	Plant issue fee	
0 X 18 = 0				122	130	122	130	Petitions to the Commissioner	
9 X 80 = 720				123	130	123	130	Petitions related to provisional applications	
0 X = 0				126	180	126	180	Submission of Information Disclosure Stmt	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Recording each patent assignment per property (times number of properties)					
103	18	203	9	581	40	581	40		
102	80	202	40	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
104	270	204	135	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
109	80	209	40	179	710	279	355	Request for Continued Examination (RCE)	
110	18	210	9	169	900	169	900	Request for expedited examination of a design application	
SUBTOTAL (2)				Other fee (specify) _____					
				*Reduced by Basic Filing Fee Paid					
				SUBTOTAL (3)					
				(\$)					
				0					

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	David J. Paul	Registration No. Attorney/Agent	34,692	Telephone	208-368-4515
Signature				Date	7/13/2001

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